



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q90294

Takayuki ARAKI, et al.

Appln. No.: 10/552,684

Group Art Unit: 1755

Confirmation No.: 3803

Examiner: Not Yet Assigned

Filed: October 11, 2005

For: CYCLIC ETHER COPOLYMER, COATING RESIN COMPOSITION, OPTICAL DEVICES, AND PROCESS FOR PRODUCTION OF THE DEVICES

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98

MAIL STOP AMENDMENT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /HP/

INFORMATION DISCLOSURE STATEMENT
U.S. Appln. No.: 10/552,684

Attorney Docket No. Q90294

The present Information Disclosure Statement is being filed thirty days or fewer from the communication from a foreign patent office and a Statement Under 37 C.F.R. §1.704(d) is attached.

JP 2003-40938 was cited in a Japanese Office Action for counterpart Japanese Application No. 2005-505357 dated October 3, 2006, copy attached.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant submits an English language Abstract for JP 2003-40938. Additionally, U.S. Patent No. 6,809,166 B2 corresponds to JP 2003-40938.

JP 4-190202 and JP 3-252474 were previously submitted in the Information Disclosure Statement filed March 8, 2006. JP 10-227931, JP 10-72508 and JP 58-38707 were previously submitted in the Information Disclosure Statement filed January 11, 2006.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

Respectfully submitted,



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WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: November 2, 2006

Substitute for Form 1449 A & B/PTO		Complete if Known	
O I P INFORMATION DISCLOSURE STATEMENT BY APPLICANT <small>NOV 02 2006 (use as many sheets as necessary)</small>		Application Number	10/552,684
		Confirmation Number	3803
		Filing Date	October 11, 2005
		First Named Inventor	Takayuki ARAKI
		Art Unit	1755
		Examiner Name	Not Yet Assigned
		Attorney Docket Number	Q90294

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

NON PATENT LITERATURE DOCUMENTS

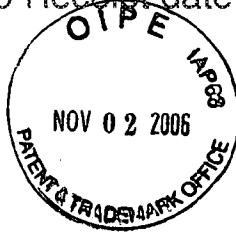
NON-PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶

Examiner Signature	/Helen Pezzuto/	Date Considered	09/01/2008
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to indicate here which references are considered prior art.

serial number of the patent document. ³Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.
ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /HP/
[Page 1 of 11]



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STATEMENT UNDER 37 C.F.R. § 1.704(d)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was cited in a communication from a foreign patent office in a counterpart foreign application, and that the communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

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